THE NUMBER OF LATTICE POINTS IN ALCOVES AND THE EXPONENTS OF THE FINITE WEYL GROUPS

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ABSTRACT. We count lattice points in certain rational simplices associated with an irreducible finite Weyl group W and observe that these numbers are linked to the exponents of W.

1. INTRODUCTION

The main point of this note is to present an (as far as I know) new formula for the exponents of an irreducible finite Weyl group. For basic terminology the reader is referred to any one of the books [1, 3, 5]. In any case, let me recall a few ways of how the exponents occur. Thus let W be an irreducible finite Coxeter group with set of Coxeter generators S and rank l = |S|.

The Coxeter transformation $c := \prod_{s \in S} s \in W$ is well-defined up to conjugacy, and its order h = |c| is the Coxeter number of W. If $\exp 2\pi i m_1/h, \ldots, \exp 2\pi i m_l/h$ with $0 < m_1 \leq \cdots \leq m_l < h$ are the eigenvalues of c in the reflection representation, then the integers m_1, \ldots, m_l are called the exponents of W.

Here is another approach to describe the exponents. Let $\ell: W \to \mathbb{Z}_{\geq 0}$ be the length function. Then

(1)
$$\sum_{w \in W} t^{\ell(w)} = \prod_{i=1}^{l} \frac{1 - t^{m_i + 1}}{1 - t}$$

A third characterization of the exponents comes from the fact that the numbers $m_1 + 1, \ldots, m_l + 1$ are the degrees of the fundamental polynomial invariants of W.

Fourth, the exponents of W can be read off the Poincaré polynomial of the hyperplane arrangement associated with W.

Suppose from now on that W is crystallographic. It is thus the Weyl group of a compact, connected Lie group G. The manifold G has the same cohomology as a product of odd-dimensional spheres,

$$H^*(G,\mathbb{R}) \cong H^*\Big(\prod_{i=1}^l S^{2m_i+1},\mathbb{R}\Big),$$

which again determines the exponents.

Still another possibility to describe the exponents in the crystallographic case is as follows. Consider a root system with Weyl group W, and let k_i be the number of

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positive roots of height *i*. (The height of a root is the sum of its coefficients when expressed as a linear combination of simple roots.) Then (m_l, \ldots, m_1) is the dual partition to the partition (k_1, \ldots, k_{h-1}) .

2. Another formula for the exponents

Now we come to another formula for the exponents of an irreducible finite Weyl group W, a formula which involves counting lattice points in a rational simplex $\Delta^{(m)}$. As an aside let me mention that in the past few years considerable progress has been made in the theory of toric varieties and hence in the problem of counting lattice points in integral polyhedra (see [2, 4]). The counting problem we shall deal with is very simple.

Let me explain the definition of $\Delta^{(m)}$, which also provides some motivation. A reader who is not familiar with semisimple Lie theory may hop to the beginning of the next paragraph. Let \mathfrak{g} be a simple complex Lie algebra whose Weyl group is W. (It doesn't matter whether we take $\mathfrak{g} = \mathfrak{so}_{2l+1}(\mathbb{C})$ or $\mathfrak{g} = \mathfrak{sp}_{2l}(\mathbb{C})$ if W is hyperoctahedral.) We choose a Cartan subalgebra \mathfrak{h} and a Borel subalgebra \mathfrak{b} with $\mathfrak{h} \subseteq \mathfrak{b} \subseteq \mathfrak{g}$ and thus get an irreducible finite root system $\Phi \subseteq \mathfrak{h}^*$ together with a basis of simple roots $(\alpha_i)_{i=1,\ldots,l}$ and its corresponding set of dominant integral weights $P_+ = \bigoplus_{i=1}^l \mathbb{Z}_{\geq 0} \varpi_i$, where $(\varpi_i)_{i=1,\ldots,l}$ are the fundamental weights, i.e., the basis of \mathfrak{h}^* dual to the basis $(\alpha_i^{\vee})_{i=1,\ldots,l}$ of simple coroots. The intersection $\Phi \cap P_+$ consists of the highest root θ together with the highest short root θ_s . Of course, $\theta = \theta_s$ if \mathfrak{g} is simply laced. We want to count the elements of

$$\Delta^{(m)} := \left\{ \lambda \in P_+ \mid \langle \lambda, \theta_{\mathrm{s}}^{\vee} \rangle \leqslant m \right\} \qquad (m \in \mathbb{Z}).$$

The coroot θ_{s}^{\vee} is the highest root of the dual root system, and its coefficients with respect to the basis $(\alpha_{i}^{\vee})_{i=1,...,l}$, i.e., the numbers $n_{i} := \langle \varpi_{i}, \theta_{s}^{\vee} \rangle$ (i = 1, ..., l) are sometimes called the marks (of the dual root system). Equivalently, $|\Delta^{(m)}|$ is the number of dominant integral weights (of fixed null depth) at level *m* of the (twisted if \mathfrak{g} is non-simply-laced) affine Kac-Moody algebra corresponding to \mathfrak{g} . (For the untwisted version one has to replace θ_{s} by θ in the definition of $\Delta^{(m)}$. So instead of having n_{1}, \ldots, n_{l} being the marks, one would take them to be the comarks.)

The upshot of the previous paragraph is that we have l positive integers n_1, \ldots, n_l associated to W. The cardinality $|\Delta^{(m)}|$ we are interested in is nothing else than the dimension of the K-algebra (K is any field)

 $K[x_1,\ldots,x_l]/(\text{monomials of degree strictly bigger than } m)$

with $\deg x_i = n_i \ (i = 1, ..., l).$

The generating function

$$\frac{1}{(1-t^{n_1})\cdots(1-t^{n_l})} = \sum_{m=0}^{\infty} \left| \Delta^{(m)} - \Delta^{(m-1)} \right| \cdot t^m$$

counts nonnegative integral solutions to the equation $a_1n_1 + \cdots + a_ln_l = m$. The coefficients we are interested in are the sums of the coefficients of this generating function. Putting $n_0 := 1$, we have

(2)
$$\frac{1}{(1-t^{n_0})\cdots(1-t^{n_l})} = \sum_{m=0}^{\infty} |\Delta^{(m)}| \cdot t^m.$$

We say n_0, \ldots, n_l are the affine marks of W.

Let $\widetilde{n} := \operatorname{lcm}\{n_0, \ldots, n_l\}$. It is clear that there are polynomials $p_1(x), \ldots, p_{\widetilde{n}}(x)$ such that $|\Delta^{(m)}| = p_a(m)$ if $m \equiv a \pmod{\widetilde{n}}$ and $m \ge 0$. In fact,

(3)
$$\frac{1}{(1-t^{n_0})\cdots(1-t^{n_l})} = \left(\prod_{i=0}^l \frac{1-t^{\widetilde{n}}}{1-t^{n_i}}\right) \frac{1}{(1-t^{\widetilde{n}})^{l+1}} \\ = \left(\prod_{i=0}^l \sum_{k=0}^{\widetilde{n}_i - 1} t^{n_i k}\right) \sum_{\mu=0}^{\infty} \binom{\mu+l}{l} t^{\widetilde{n}\mu}.$$

Substituting equation (3) into the left hand side of equation (2), we thus have an explicit formula for the numbers $|\Delta^{(m)}|$ and hence for the polynomials $p_a(x)$. (It turns out that $p_a(x) = p_b(x)$ if $gcd\{a, \tilde{n}\} = gcd\{b, \tilde{n}\}$. This is not so in general but holds for the special choices for n_0, \ldots, n_l we have to consider.)

Recall that the order of W can be expressed as $|W| = f \cdot l! \cdot \prod_{i=1}^{l} n_i$, where f is the index of connection, i. e., the determinant of the matrix of Cartan integers. It turns out that f is the number of affine marks of W equal to 1, i. e., $f = |\Delta^{(1)}|$ by (2). Indeed, this description of f is a consequence of the formula $|W| = \prod_{i=1}^{l} (m_i + 1)$, which follows from equation (1), and the formula (4) with m = 1 below.

Observation. Let W be an irreducible finite Weyl group with affine marks n_0, \ldots, n_l and exponents m_1, \ldots, m_l . Define $|\Delta^{(m)}|$ as in equation (2). Let f be the index of connection of W and $\tilde{n} := \operatorname{lcm}\{n_0, \ldots, n_l\}$. Then

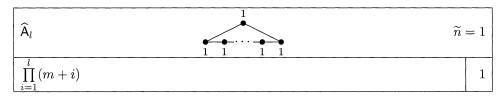
(4)
$$|\Delta^{(m)}| = \frac{f}{|W|} \prod_{i=1}^{l} (m+m_i)$$

for every positive integer m satisfying $gcd\{m, \tilde{n}\} = 1$.

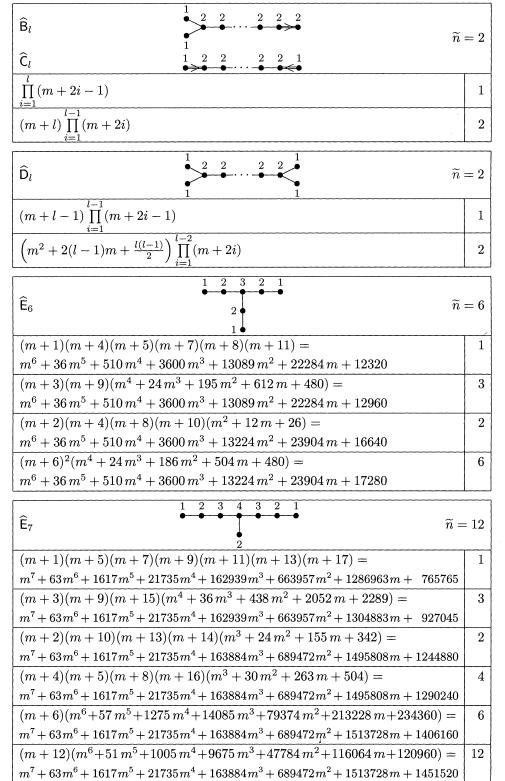
We are now in the usual situation that an empirically observed result awaits for a conceptual proof.

3. The tables

The head of each table shows the affine Coxeter-Dynkin diagram. Its vertices are decorated with the marks n_0, \ldots, n_l . The left column contains the polynomials $\frac{|W|}{f} \cdot |\Delta^{(m)}|$, and the number in the right column is $\gcd\{m, \tilde{n}\}$. The polynomials are ordered by increasing coefficients.



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LATTICE POINTS IN	ALCOVES AND	WEYL GROUP	EXPONENTS
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Ê8 3	$\widetilde{n} = 60$
$(m+1)(m+7)(m+11)(m+13)(m+17)(m+19)(m+23)(m+29) = m^8 + 120 m^7 + 6020 m^6 + 163800 m^5 + 2616558 m^4 + 24693480 m^3 + 130085780 m^2 + 323507400 m + 215656441$	
$\frac{(m+5)(m+25)(m^6+90m^5+3195m^4+56700m^3+516183m^2+2120490m+1948205)=}{m^8+120m^7+6020m^6+163800m^5+2616558m^4+24693480m^3+130085780m^2+323507400m+243525625}$	ъ
$\frac{(m+3)(m+9)(m+21)(m+27)(m^4+60m^3+1250m^2+10500m+22749)}{m^8+120m^7+6020m^6+163800m^5+2616558m^4+24693480m^3+130802580m^2+345011400m+348264441$	ຕ
$\frac{(m+15)^2(m^6+90m^5+3095m^4+50700m^3+399183m^2+1310490m+1671705)=}{m^8+120m^7+6020m^6+163800m^5+2616558m^4+24693480m^3+130802580m^2+345011400m+376133625}$	15
$\frac{(m+2)(m+14)(m+22)(m+26)(m^4+56m^3+1068m^2+8344m+27176)}{m^8+120m^7+6020m^6+163800m^5+2626008m^4+25260480m^3+141860480m^2+418876800m+435250816$	2
$\begin{array}{l} (m+10)(m^7+110m^6+4920m^5+114600m^4+1480008m^3+10460400m^2+37256480m+46312000)=\\ m^8+120m^7+6020m^6+163800m^5+2626008m^4+25260480m^3+141860480m^2+418876800m+463120000 \end{array}$	10
$\frac{(m+4)(m+8)(m+16)(m+28)(m^4+64m^3+1428m^2+12536m+36056)}{m^8+120m^7+6020m^6+163800m^5+2626008m^4+25260480m^3+141860480m^2+424320000m+516898816$	4
$\frac{(m+20)(m^7+100m^6+4020m^5+83400m^4+958008m^3+6100320m^2+19854080m+27238400)}{m^8+120m^7+6020m^6+163800m^5+2626008m^4+25260480m^3+141860480m^2+424320000m+544768000}$	20
$\begin{array}{l} (m+6)(m+18)(m^{6}+96m^{5}+3608m^{4}+66840m^{3}+632184m^{2}+2869344m+5437152)=\\ m^{8}+120m^{7}+6020m^{6}+163800m^{5}+2626008m^{4}+25260480m^{3}+142577280m^{2}+440380800m+587212416 \end{array}$	9
$m^8 + 120\tilde{m}^7 + 6020m^6 + 163800m^5 + 2626008m^4 + 25260480m^3 + 142577280m^2 + 440380800m + 615081600m^2 + 120\tilde{m}^2 + 12$	30
$\begin{array}{l} (m+12)(m+24)(m^{6}+84m^{5}+2708m^{4}+42120m^{3}+329784m^{2}+1257696m+2322432) = \\ m^{8}+120m^{7}+6020m^{6}+163800m^{5}+2626008m^{4}+25260480m^{3}+142577280m^{2}+445824000m+668860416 \end{array}$	12
$m^8 + 120m^7 + 6020m^6 + 163800m^5 + 2626008m^4 + 25260480m^3 + 142577280m^2 + 445824000m + 696729600m^2 + 1000m^2 + 1000$	60

$ \widehat{F}_4 \qquad \qquad \underbrace{\begin{smallmatrix} 1 & 2 & 3 & 4 & 2 \\ \bullet & \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet & \bullet \\ \hline \widetilde{n} \qquad \qquad \widetilde{n} $	= 12
$(m+1)(m+5)(m+7)(m+11) = m^4 + 24m^3 + 190m^2 + 552m + 385$	1
$(m+3)(m+9)(m^2+12m+19) = m^4 + 24m^3 + 190m^2 + 552m + 513$	3
$(m+2)(m+10)(m^2+12m+44) = m^4 + 24m^3 + 208m^2 + 768m + 880$	2
$(m+6)^2(m^2+12m+28) = m^4+24m^3+208m^2+768m+1008$	6
$(m+4)^2(m+8)^2 = m^4 + 24m^3 + 208m^2 + 768m + 1024$	4
$m^4 + 24 m^3 + 208 m^2 + 768 m + 1152$	12

\widehat{G}_2 $3 \ 2 \ 1$	$\widetilde{n} = 6$
$(m+1)(m+5) = m^2 + 6m + 5$	1
$(m+2)(m+4) = m^2 + 6m + 8$	2
$(m+3)^2 = m^2 + 6m + 9$. 3
$m^2 + 6m + 12$	6

4. More observations

The tables suggest more observations. For instance, the polynomials for which $gcd\{m, \tilde{n}\} = 1$ have the smallest coefficients, those for which $gcd\{m, \tilde{n}\} = \tilde{n}$ the biggest. This is of course what one expects.

There are $|\Delta^{(m-h)}|$ points in the "interior" of $\Delta^{(m)}$, i.e., in

 $\big\{ \lambda + \rho \ \big| \ \lambda \in P_+, \ \langle \lambda + \rho, \theta_{\rm s}^{\vee} \rangle < m \big\},$

where $\rho = \sum_{i=1}^{l} \overline{\omega}_i$. In fact, the points in the "interior" correspond to strictly positive integral solutions to

$$(5) a_1 n_1 + \dots + a_l n_l < m.$$

There are $|\Delta^{(m-h)}|$ such solutions because $1 + n_1 + \cdots + n_l = h$. (The 1 accounts for the strict inequality in (5).) $|\Delta^{(m-h)}|$ is connected to the polynomial for $|\Delta^{(m)}|$ by reciprocity, i. e., Serre duality in the context of toric varieties. To be precise, let $p_a(x)$ be the polynomial for which $|\Delta^{(m)}| = p_a(m)$ if $m \equiv a \pmod{\tilde{n}}$ and $m \ge 0$. Then

$$p_{a-h}(m-h) = (-1)^l p_a(-m).$$

In particular, we have $1 = \gcd\{1, \tilde{n}\} = \gcd\{1 - h, \tilde{n}\}$, whence

$$p_1(m-h) = (-1)^l p_1(-m),$$

or, using the observation (4),

$$\prod_{i=1}^{l} (m-h+m_i) = \prod_{i=1}^{l} (m-m_i).$$

Hence Serre duality yields a fancy but amusing way of obtaining the symmetry $(h - m_l, \ldots, h - m_1) = (m_1, \ldots, m_l)$ of the set of exponents.

The reader may draw further observations from the tables, e.g., describe which linear factors occur.

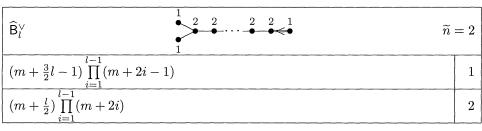
5. The other affine Coxeter-Dynkin diagrams

As already mentioned, the tables for $\widehat{\mathsf{B}}_l$, $\widehat{\mathsf{C}}_l$, $\widehat{\mathsf{F}}_4$, and $\widehat{\mathsf{G}}_2$ give the number of dominant integral weights at level *m* for the *twisted* affine Kac-Moody algebras. Physicists may be more interested in the untwisted case and want to count the number of primary fields for the WZNW conformal field theory models. The tables for these numbers are given below.

The left column in the table for $\widehat{\mathsf{X}}_l^{\vee}$ contains the polynomials $\left(l!\prod_{i=0}^{t}n_i\right)|\Delta^{(m)}|$ with $|\Delta^{(m)}|$ defined as in equation (2) for n_0,\ldots,n_l as shown in the diagrams, and the number in the right column is $\gcd\{m, \tilde{n}\}$. As before, $\tilde{n} = \operatorname{lcm}\{n_0,\ldots,n_l\}$. Again, if the level *m* satisfies $\gcd\{m, \tilde{n}\} = 1$, $|\Delta^{(m)}|$ is a product of *l* linear factors. This time the connexion with the exponents is less straightforward.

The sum $g := n_0 + n_1 + \cdots + n_l$ is the dual Coxeter number. Now the reciprocity formula connects $|\Delta^{(m-g)}|$ with the polynomial for $|\Delta^{(m)}|$.

Also there is the table for $\widehat{\mathsf{BC}}_l$ which merely counts lattice points for $\widehat{\mathsf{C}}_l$, but in a stuttering manner, meaning that $|\Delta^{(2\mu+1)}| = |\Delta^{(2\mu)}|$ for $\mu \in \mathbb{Z}$.



6. N	AORE	TABLES
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\widehat{C}_l^{\vee}	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$\widetilde{n} = 1$
same table as for \widehat{A}_{i}		

$\widehat{F}_4^{\vee} \qquad \qquad \underbrace{\begin{array}{ccccccccccccccccccccccccccccccccccc$	$\widetilde{n} = 6$
$(m+1)(m+5)^2(m+7) = m^4 + 18m^3 + 112m^2 + 270m + 175$	1
$(m+3)(m^3+15m^2+67m+69) = m^4+18m^3+112m^2+270m+207$	3
$(m+2)(m+4)^2(m+8) = m^4 + 18m^3 + 112m^2 + 288m + 256$	2
$(m+6)(m^3+12m^2+40m+48) = m^4+18m^3+112m^2+288m+288$	6

$$\widehat{\mathsf{G}}_{2}^{\vee} \qquad \qquad \underbrace{\overset{1 \quad 2 \quad 1}{\clubsuit}}_{\bullet \bullet} \qquad \qquad \widetilde{n} = 2$$

same table as for B_2

\widehat{BC}_l	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$\widetilde{n}=2$
$\prod_{i=1}^{l} (m+2i-1)$		1
$\prod_{i=1}^{l} (m+2i)$		2

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